



# Foundations of logic programming semantics: an operator-based perspective

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# Logic Programming

- Specific, powerful family of languages for knowledge representation (problems up to second level of polynomial hierarchy).
- Efficient, user-friendly solvers (clingo<sup>1</sup>, DLV) and tools.<sup>2</sup>
- Hallmark of the **declarative** programming approach: describe a problem (without having to describe how to find solutions).

```
node(1..6).  
edge(1,2;1,3;1,4;2,4;2,5;2,6;3,1;3,4;3,5;4,1).  
col(r). col(g). col(b).
```

```
{ color(X,C) : col(C) } =1 :- node(X).  
:- edge(X,Y), color(X,C), color(Y,C).
```

<sup>1</sup><https://potassco.org/clingo/run/>

<sup>2</sup><https://potassco.org/related/> and their weekly seminar.

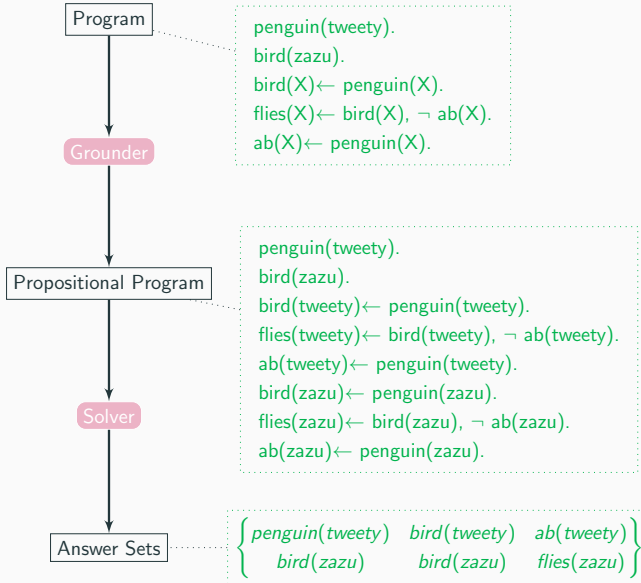
## Example Applications: Student Projects

- Puzzles and games:
  - Rush hour
  - Rubics
  - Futoshiki
  - Kakurasu
  - IQ Puzzler Pro
- Generating healthy diets.
- Procedural content generation.
- Parsing grammatical structure of Latin.
- Minimum Sum Partition Problem.

## Example Applications: Knowledge Representation

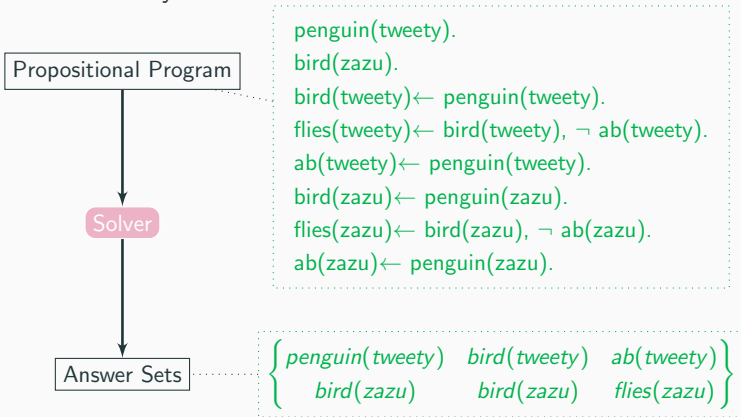
- Solvers or reasoners for:
  - Formal argumentation [DRWW20]
  - AGM Belief Revision [AP17, BNBPW04]
  - Boolean networks [KB19]
  - Ordered disjunction [BNS02]
  - Description Logics [Swi04]
- Inconsistency Measures [KT21]
- Linear temporal logic [KCG23]
- Logic programming (!?) [KRSW23]
- Axiom pinpointing in ontologies [HMP<sup>+</sup>23]
- ...

# The ASP-workflow



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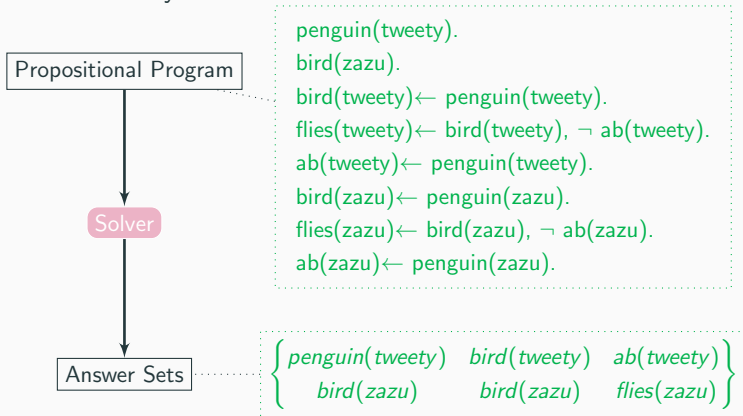
Focus of today:



What are answer sets and what is so special about them?

# The ASP-workflow

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What are answer sets and what is so special about them?<sup>†</sup>

<sup>†</sup>Interested in other aspects of logic programming? I'll see you in a week or take a look at <https://teaching.potassco.org/>.

# Goals and Structure

- Provide a gentle introduction to the semantics of logic programming:
  - Supported models
  - Kripke-Kleene models
  - Stable models
  - Well-founded model
- Illustrate the operator-based approach to KR with a paradigmatic example.
  - Basic constructions of approximation fixpoint theory (for logic programs).
  - From logic programming to operators.



## Almost nothing of this is my work

- Operator-based approach has driven logic programming since its inception [VGRS91, Fit06].
- Studied algebraically by Denecker, Marek and Truszczyński [DMT00].
- I extended and worked in this algebraic framework with Ofer Arieli and Bart Bogaerts.

# Goals and Structure

Syntax of Logic Programs

Semantics of Positive Programs

Semantics of Normal Logic Programs

Stable Semantics

Approximation Fixpoint Theory

Round up

# Syntax of Logic Programs

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# Syntax of Logic Programs

Set of atoms  $\mathcal{A} = \{a, b, c, p, q, r, a_1, a_2, \dots\}$

$$a \leftarrow b_1, \dots, b_n, \neg c_1, \dots, \neg c_m$$

- Program is a set of rules.
- Rule is positive if  $m = 0$ .
- Program is positive if all the rules are positive.

# Semantics of Positive Programs

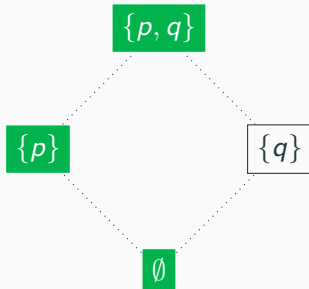
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# What are the semantics of logic programs?

$$p \leftarrow q.$$

Classical models?  $\emptyset, \{p\}, \{p, q\}$ .

Notice: a formula follows from every classical model if it follows from  $\emptyset$ .



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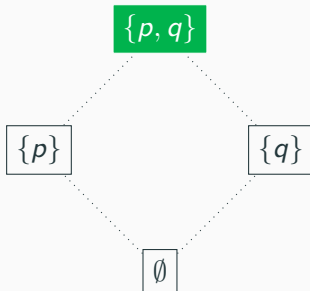
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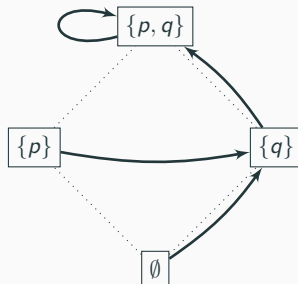
$$T_{\mathcal{P}} : \wp(\mathcal{A}_{\mathcal{P}}) \mapsto \wp(\mathcal{A}_{\mathcal{P}})$$

$$T_{\mathcal{P}}(\mathbf{x}) = \{a \mid a \leftarrow b_1, \dots, b_n \in \mathcal{P} \text{ and } b_1, \dots, b_n \in \mathbf{x}\}$$

## Example

$$\mathcal{P} = \{p \leftarrow q., \quad q \leftarrow .\}$$

$x$	$\emptyset$	$\{p\}$	$\{q\}$	$\{p, q\}$
$T_{\mathcal{P}}(x)$	$\{q\}$	$\{q\}$	$\{p, q\}$	$\{p, q\}$



# Models and Fixpoints

## Definition

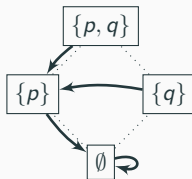
$x$  is a *post-fixpoint* of  $T_{\mathcal{P}}$  if  $T_{\mathcal{P}}(x) \subseteq x$ .

*Intuition:* everything I can derive from  $x$  using  $\mathcal{P}$  is in  $x$ .

*Models* of  $\mathcal{P}$ .

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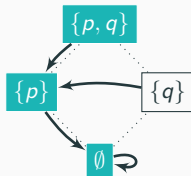
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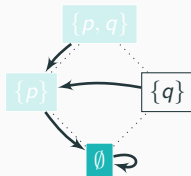
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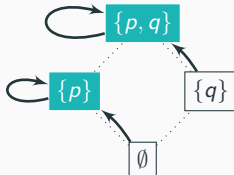
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# Models and Fixpoints

- For positive programs,  $T_{\mathcal{P}}$  has a unique **least fixpoint**  $x$ .
- It is also the least pre-fixpoint.
- We can compute it by iterating  $T_{\mathcal{P}}$  starting from  $\emptyset$ :  
$$T_{\mathcal{P}}(\dots T_{\mathcal{P}}(\emptyset) \dots) = \bigcup_{i \geq 0} T_{\mathcal{P}}^i(\emptyset)$$
  
And this is possible in polynomial time.

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i.e. any model of  $\mathcal{P}$  is included in  $y$ .
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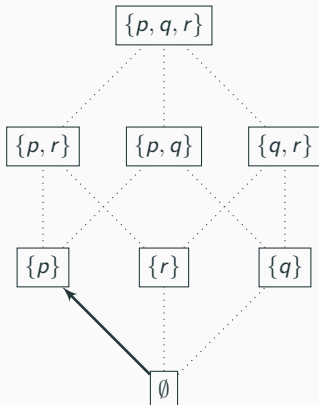
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Underlying result: A  $\subseteq$ -monotonic operator over a complete lattice admits a least fixpoint.

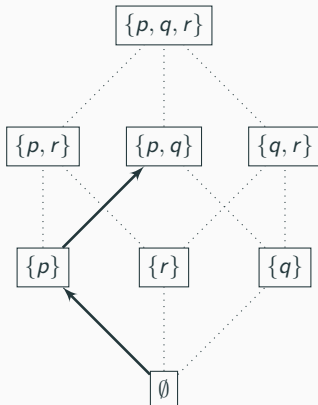
# Least fixpoint computation

$$\mathcal{P} = \{ \textcolor{brown}{p} \leftarrow . \quad q \leftarrow p. \quad r \leftarrow p, q. \}$$



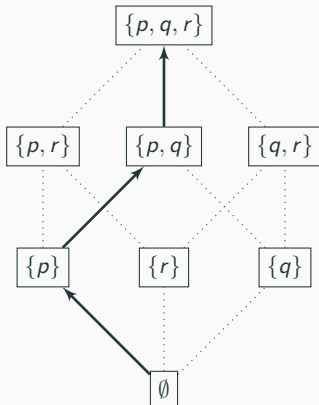
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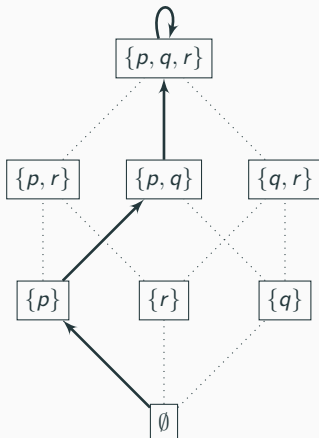
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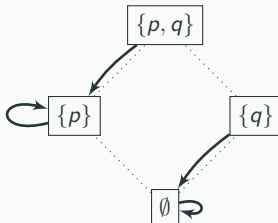
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$$\mathcal{P} = \{p \leftarrow . \quad q \leftarrow p. \quad r \leftarrow p, q.\}$$



## Least fixpoint $\neq$ unique fixpoint

**Example** ( $\mathcal{P} = \{p \leftarrow p.\}$ )



# Semantics of Normal Logic Programs

---

$$p \leftarrow \neg q$$

How to extend our operator?



## Enters Negation

$$p \leftarrow \neg q$$

How to extend our operator?

Easy:

$$T_{\mathcal{P}}(x) = \{a \mid a \leftarrow b_1, \dots, b_n, \neg c_1, \dots, \neg c_m \in \mathcal{P}, \text{ and} \\ b_1, \dots, b_n \in x, \text{ and } c_1, \dots, c_m \notin x\}$$

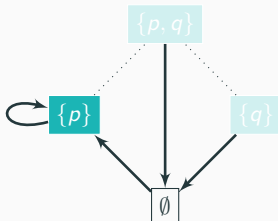
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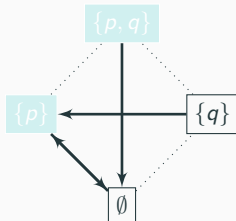
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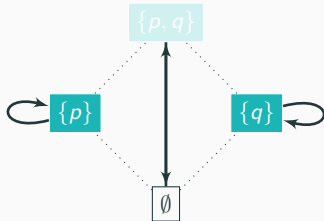
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$$\mathcal{P} = \{p \leftarrow \neg p\}$$



## No unique fixpoint

$$\mathcal{P} = \{p \leftarrow \neg q; q \leftarrow \neg p\}$$



## Problems with negation

- There might not be a fixpoint.
- There might be multiple minimal fixpoints.
- We don't know how to find fixpoints.

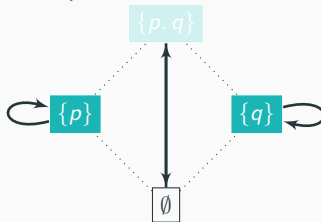
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⇒ It is not a monotonic operator





# Approximations

- Pairs of sets of atoms  $(x, y)$ .
  - $x$  contains all atoms that are definitely true.
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  - $(x_1, y_1) \leq_t (x_2, y_2)$  if  $x_1 \subseteq x_2$  and  $y_1 \subseteq y_2$ .
  - $(x_1, y_1) \leq_i (x_2, y_2)$  if  $x_1 \subseteq x_2$  and  $y_2 \subseteq y_1$ .

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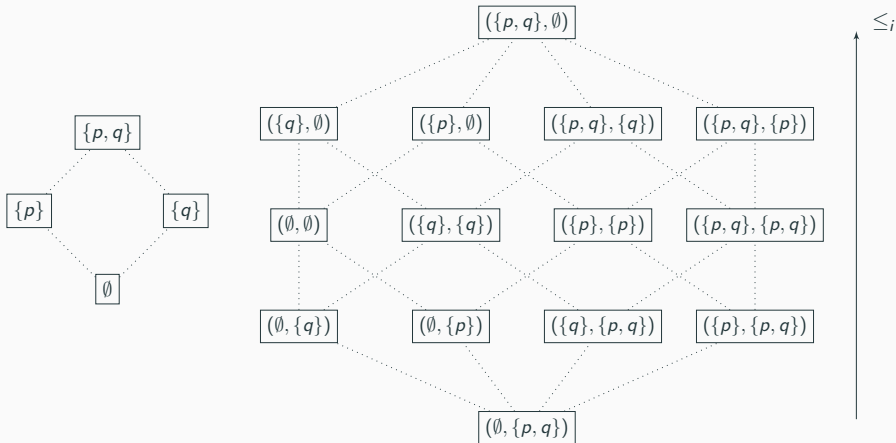
## Example

$(\{p\}, \{p, q\})$ :  $p$  is true and  $q$  can be true.

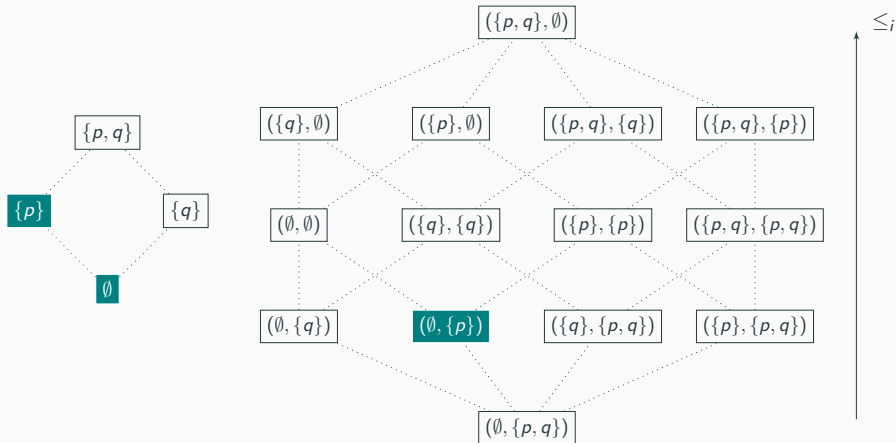
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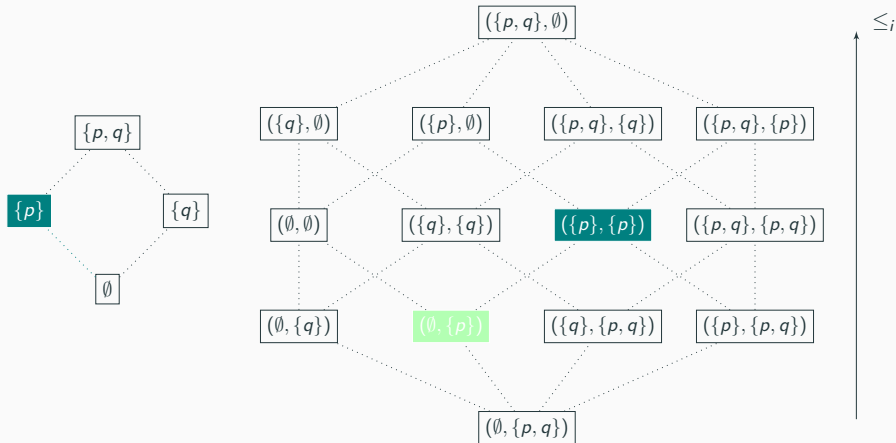
# Graphical Depiction



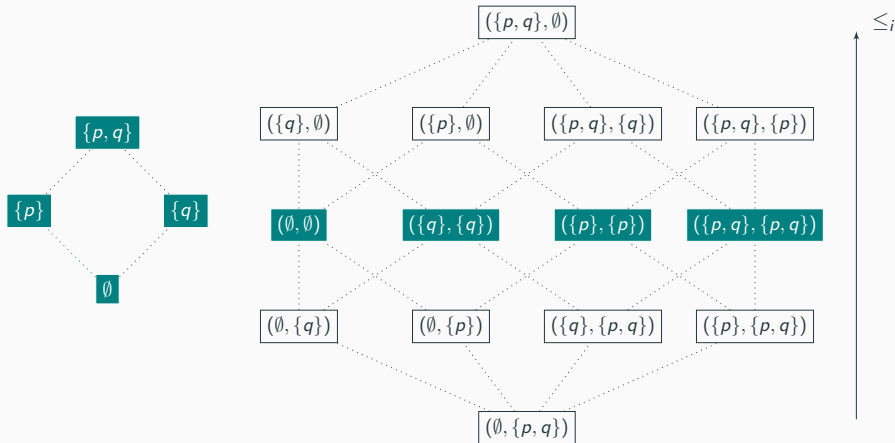
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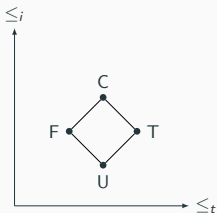
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# Approximations as Four-Valued Interpretations



- $\neg F = T, \neg T = F, \neg U = U$  and  $\neg C = C$
- $(x, y)(p) = \begin{cases} T & \text{if } p \in x \text{ and } p \in y, \\ U & \text{if } p \notin x \text{ and } p \in y, \\ F & \text{if } p \notin x \text{ and } p \notin y, \\ C & \text{if } p \in x \text{ and } p \notin y. \end{cases}$
- $(x, y)(\neg \phi) = \neg(x, y)(\phi),$
- $(x, y)(\psi \wedge \phi) = \text{lub}_{\leq_t} \{(x, y)(\phi), (x, y)(\psi)\},$
- $(x, y)(\psi \vee \phi) = \text{glb}_{\leq_t} \{(x, y)(\phi), (x, y)(\psi)\}.$

## Example

$$(\{p\}, \{p, q\})(p) = T \quad (\{p\}, \{p, q\})(q) = U \quad (\{p\}, \{p, q\})(r) = F.$$

$$(\{p\}, \{p, q\})(\neg p) = F \quad (\{p\}, \{p, q\})(\neg q) = U$$

$$(\{p\}, \{p, q\})(p \wedge q) = U \quad (\{p\}, \{p, q\})(q \vee r) = U$$



## Approximating $T_{\mathcal{P}}$ (from below)

$$\mathcal{IC}_{\mathcal{P}} : \mathcal{A} \times \mathcal{A} \mapsto \mathcal{A} \times \mathcal{A}$$

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We input an approximation and output an approximation.

$$\mathcal{IC}_{\mathcal{P}}^l(x, y) = \{a \in \mathcal{A} \mid a \leftarrow b_1, \dots, b_n, \neg c_1, \dots, \neg c_m \in \mathcal{P}, \\ b_1, \dots, b_n \in x \text{ and } c_1, \dots, c_m \notin y\}$$

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**Example**  $(\{p \leftarrow p, \neg q\})$

$$\mathcal{IC}_{\mathcal{P}}^l(\{p\}, \{p, q\}) = \emptyset$$

$$\mathcal{IC}_{\mathcal{P}}^l(\{p\}, \{p\}) = \{p\}$$

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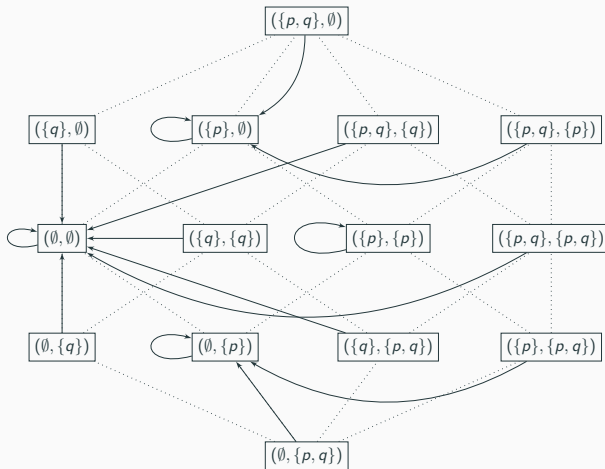
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# The Approximation Operator $\mathcal{IC}_{\mathcal{P}}$ (for $\mathcal{P} = \{p \leftarrow p, \neg q\}$ )

$$\mathcal{IC}_{\mathcal{P}}(x, y) = (\mathcal{IC}_{\mathcal{P}}^l(x, y), \mathcal{IC}_{\mathcal{P}}^u(x, y))$$



## Properties of $\mathcal{IC}_{\mathcal{P}}$

- $\mathcal{IC}_{\mathcal{P}}$  approximates  $T_{\mathcal{P}}$ :

$\mathcal{IC}_{\mathcal{P}}(x, x) = (T_{\mathcal{P}}(x), T_{\mathcal{P}}(x))$  for any  $x \subseteq \mathcal{A}$ .

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We say  $\mathcal{IC}_{\mathcal{P}}$  is an approximation operator. It is also symmetric, in the sense that  $\mathcal{IC}_{\mathcal{P}}(x, y) = (\mathcal{IC}_{\mathcal{P}}^l(x, y), \mathcal{IC}_{\mathcal{P}}(y, x))$ .

The  $\leq_i$ -monotonicity is our *indulgentia* back into Tarski's heaven:

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$\mathcal{IC}_{\mathcal{P}}$  has a least fixpoint, obtainable as  $\bigcup_{i \geq 0} \mathcal{IC}_{\mathcal{P}}^i(\emptyset, \mathcal{A})$ .

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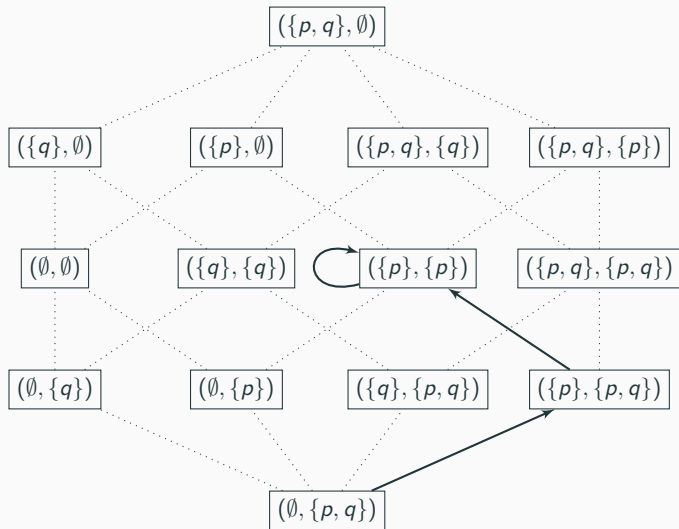
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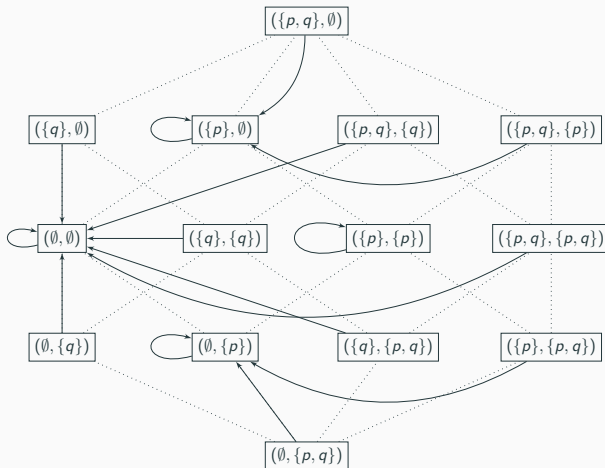
If  $(x, y) = \mathcal{IC}_{\mathcal{P}}(x, y)$  then we call it a **partial supported model**.

**Example:**  $\mathcal{P} = \{p \leftarrow; q \leftarrow \neg p\}$



# The Approximation Operator $\mathcal{IC}_{\mathcal{P}}$ (for $\mathcal{P} = \{p \leftarrow p, \neg q\}$ )

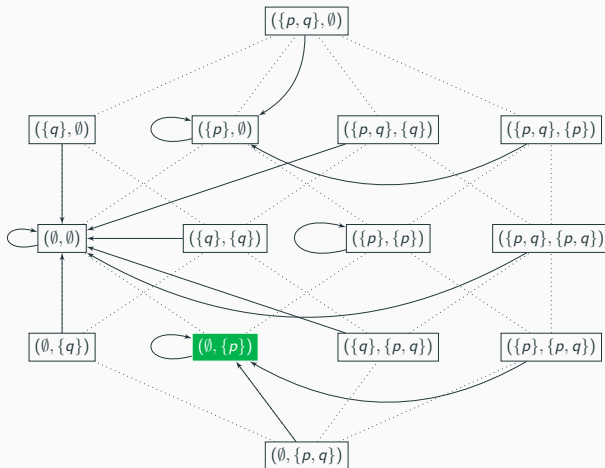
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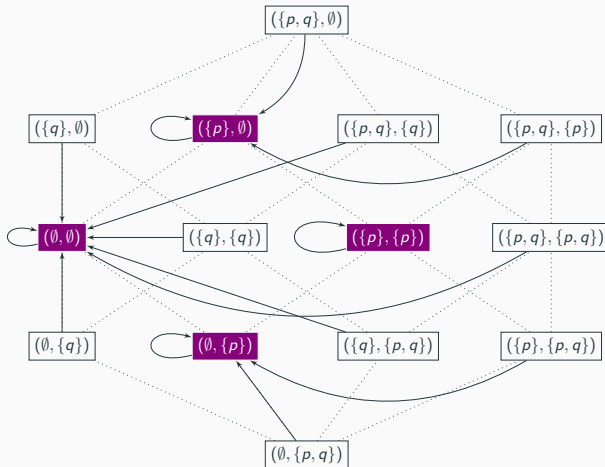
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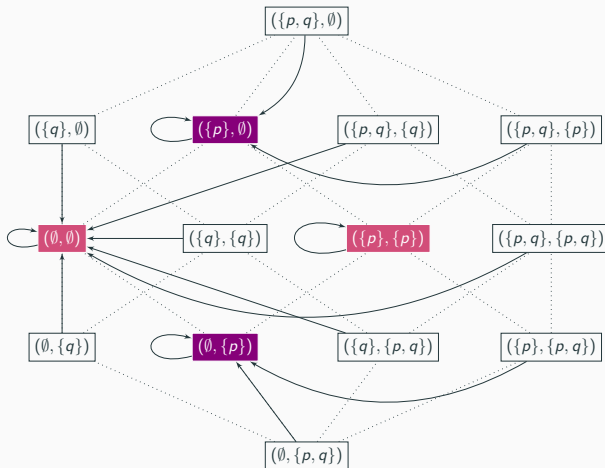
## Partial Supported models



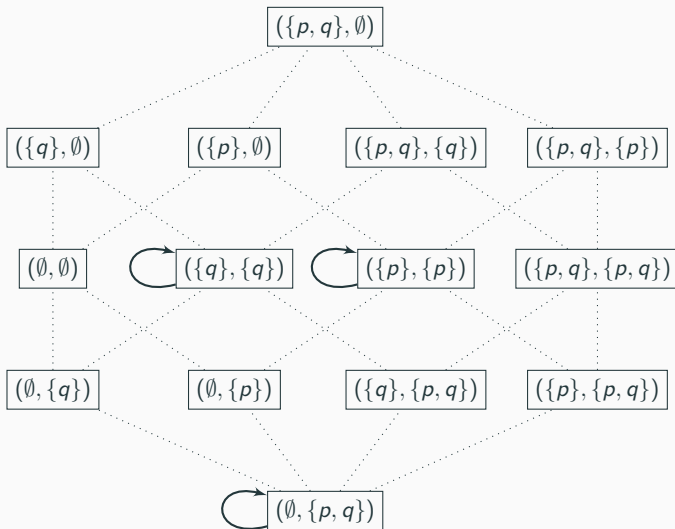
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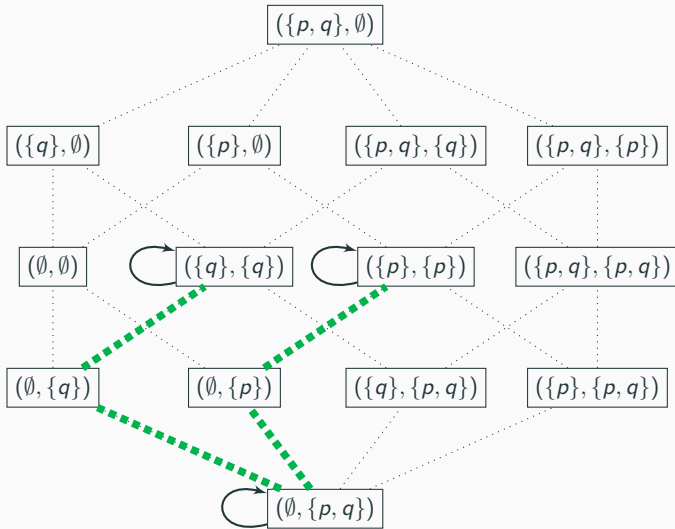
Supported models



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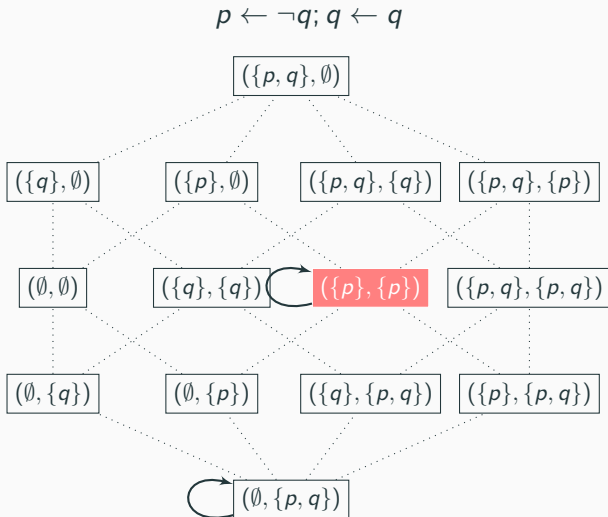




# Stable Semantics

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## Example: Kripke-Kleene is rather weak



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Construction of the Kripke-Kleene fixpoint:

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- Fixpoint reached.

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As  $\mathcal{IC}_{\mathcal{P}}^u(\emptyset, \cdot)$  is a  $\subseteq$ -monotonic operator, it admits a least fixed point.

$$S(\mathcal{IC}_{\mathcal{P}}^l)(y) = \text{Ifp}(\mathcal{IC}_{\mathcal{P}}^l(\cdot, y))$$

$$S(IC_{\mathcal{P}}^l)(y) = lfp(IC_{\mathcal{P}}^l(\cdot, y))$$

**Example** ( $\{p \leftarrow \neg q; q \leftarrow q\}$ )

$S(IC_{\mathcal{P}}^l)(\{p, q\}) = \emptyset$  since:

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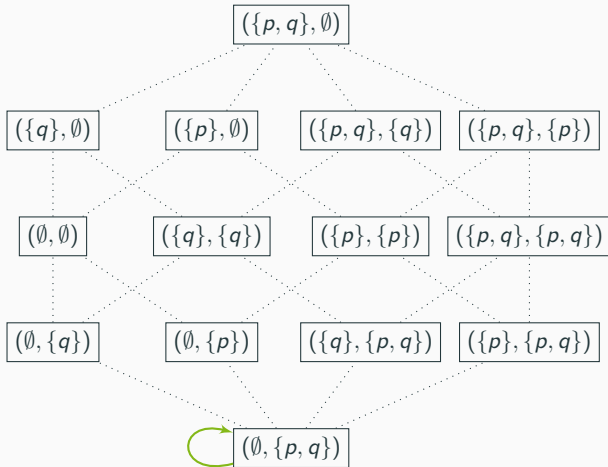
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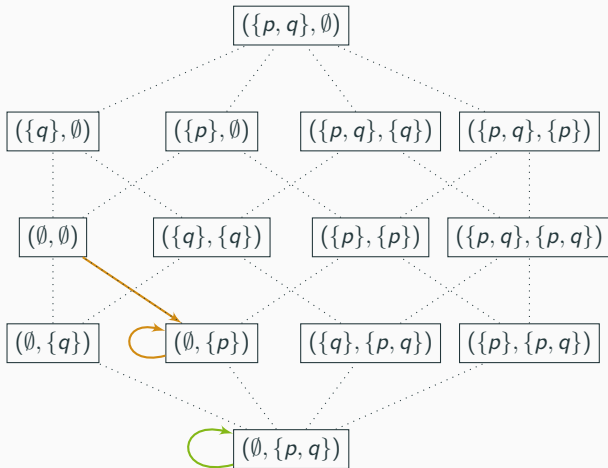
# Stable Operator: Example $\{p \leftarrow \neg q; q \leftarrow q\}$

$$S(\mathcal{IC}_{\mathcal{P}}^I)(\{p, q\}) = \text{Ifp}(\mathcal{IC}_{\mathcal{P}}^I(\cdot, \{p, q\}))$$



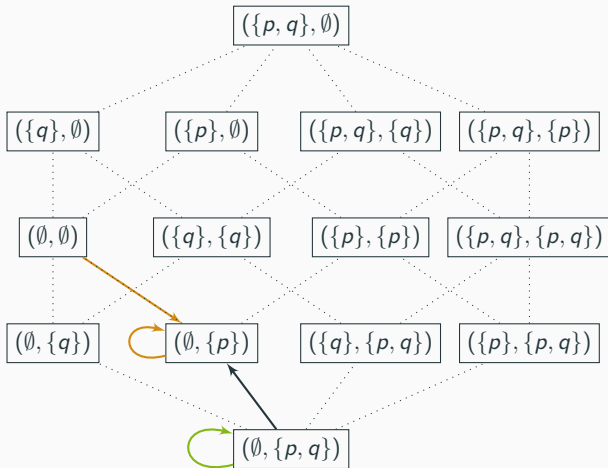
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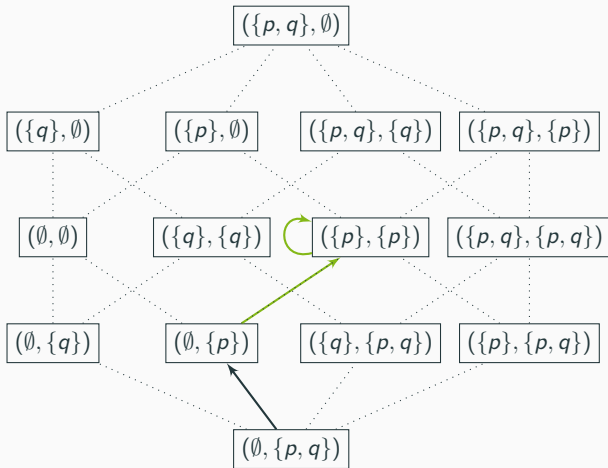
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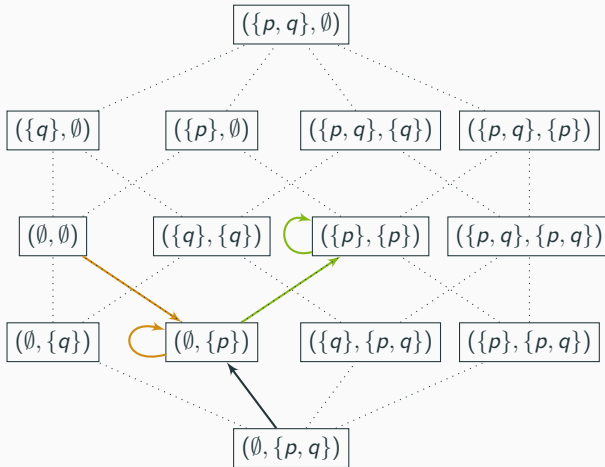
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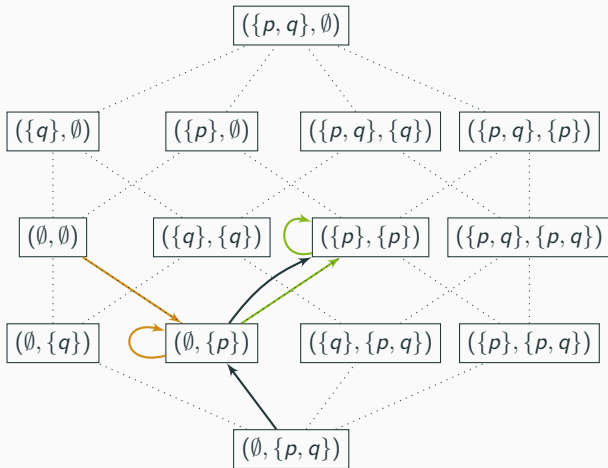
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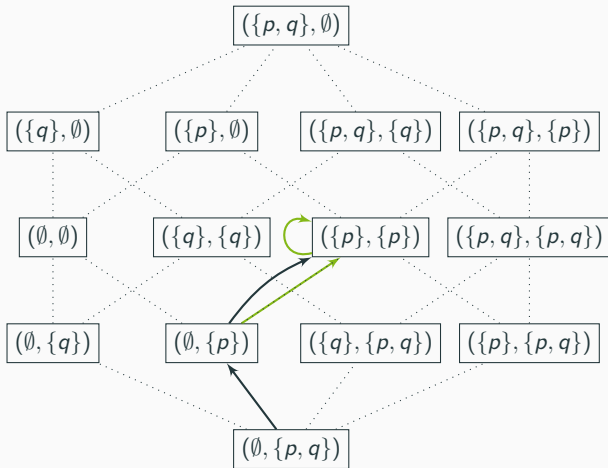
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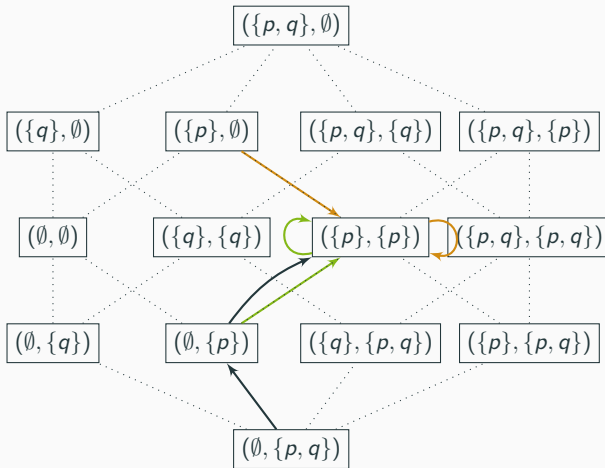
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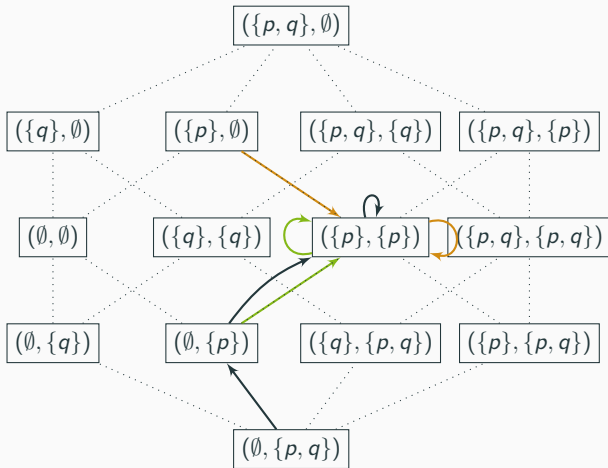
# Stable Operator: Example $\{p \leftarrow \neg q; q \leftarrow q\}$

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## Stable Operator and Well-Founded Model

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If  $(x, y) = S(IC_{\mathcal{P}})(x, y)$ , we call it a **(partial) stable model**.

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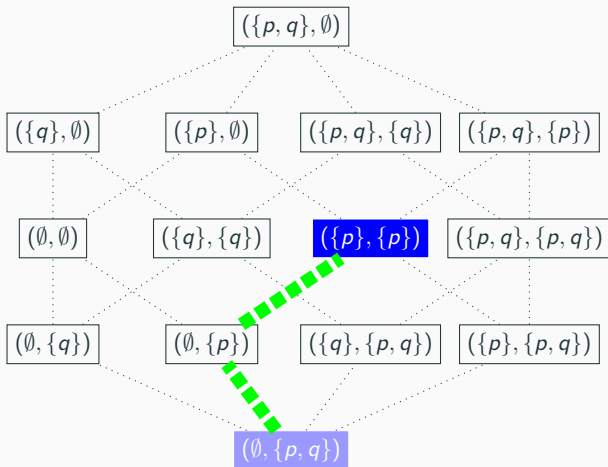
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- If  $T_{\mathcal{P}}$  has a least fixpoint, it coincides with the well-founded model.

# Stable Operator: Example

$$p \leftarrow \neg q; q \leftarrow q$$





## Stable Operator: Example 2

$$\mathcal{P} = \{p \leftarrow \neg q; \quad q \leftarrow \neg p; \quad r \leftarrow r; \quad s \leftarrow \neg r\}$$

- Kripke-Kleene fixpoint:  $(\emptyset, \{p, q, r, s\})$ .
- Well-founded model:  $(\{s\}, \{p, q, s\})$ .
- Stable models:  $(\{p, s\}, \{p, s\}), (\{q, s\}, \{q, s\})$ .

$$\frac{\mathcal{P}}{x} = \{a \leftarrow b_1, \dots, b_n \mid a \leftarrow b_1, \dots, b_n, \neg c_1, \dots, \neg c_m \in \mathcal{P} \\ c_1, \dots, c_n \notin x\}$$

## Definition

$x$  is a *stable model* of  $\mathcal{P}$  if it is a minimal model of  $\frac{\mathcal{P}}{x}$ .

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**Example** ( $\mathcal{P} = \{p \leftarrow \neg p; q \leftarrow \neg p; p \leftarrow \neg q\}$ )

$\frac{\mathcal{P}}{\{q\}} = \{p \leftarrow; q \leftarrow\}$ .  $\{q\}$  is not a minimal model of  $\mathcal{P}$ , thus  $\{q\}$  is not a stable model.

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## Proposition

$S(\mathcal{IC}_{\mathcal{P}}^l)(y)$  is the set of minimal models of  $\frac{\mathcal{P}}{y}$ .

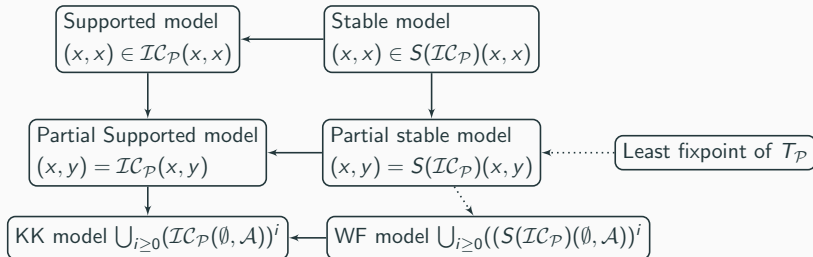
## Proposition

$(x, x) = S(\mathcal{IC}_{\mathcal{P}})(x, x)$  if and only if  $x$  is a stable model of  $\mathcal{P}$  (iff  $x = S(\mathcal{IC}_{\mathcal{P}}^l)(x)$ ).

# Approximation Fixpoint Theory

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# Recap



- Operator-based framework
  - Non-monotonic operator  $T_{\mathcal{P}}$ ,
  - a  $\leq_i$ -monotonic approximation operator  $IC_{\mathcal{P}}$ ,
  - and its stable variant  $S(IC_{\mathcal{P}})$ .
- Allow us to define semantics as fixpoints of these operators, with attractive properties:
  - KK and WF models exist, can be constructively found, and
  - approximate any fixpoint of  $T_{\mathcal{P}}$ .
- This story can be told for a great number of formalisms.

# Lattices, bilattices, operators

Given a **lattice**  $L = \langle \mathcal{L}, \leq \rangle$ .

Interested in **operator**  $O_{\mathcal{L}} : \mathcal{L} \rightarrow \mathcal{L}$  and its fixpoints.

- $(x_1, y_1) \leq_i (x_2, y_2)$  iff  $x_1 \leq x_2$  and  $y_1 \geq y_2$ ,
- $(x_1, y_1) \leq_t (x_2, y_2)$  iff  $x_1 \leq x_2$  and  $y_1 \leq y_2$ .

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$\langle \mathcal{L}^2, \leq_i, \leq_t \rangle$  is called a **bilattice**. Approximate  $O_{\mathcal{L}}$  with an **approximation operator**  $\mathcal{O} : \mathcal{L}^2 \rightarrow \mathcal{L}^2$ , which is  $\leq_i$ -monotonic and for which  $\mathcal{O}(x, x) = (O_{\mathcal{L}}(x), O_{\mathcal{L}}(x))$  for any  $x \in \mathcal{L}$ .



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- $(x_1, y_1) \leq_i (x_2, y_2)$  iff  $x_1 \leq x_2$  and  $y_1 \geq y_2$ ,
- $(x_1, y_1) \leq_t (x_2, y_2)$  iff  $x_1 \leq x_2$  and  $y_1 \leq y_2$ .

$\langle \mathcal{L}^2, \leq_i, \leq_t \rangle$  is called a **bilattice**. Approximate  $O_{\mathcal{L}}$  with an **approximation operator**  $\mathcal{O} : \mathcal{L}^2 \rightarrow \mathcal{L}^2$ , which is  $\leq_i$ -monotonic and for which  $\mathcal{O}(x, x) = (O_{\mathcal{L}}(x), O_{\mathcal{L}}(x))$  for any  $x \in \mathcal{L}$ .

Formalism	Lattice Elements	Order
Logic Programming	Possible worlds	$\subseteq$
Default Logic and AEL	Sets of possible worlds	$\supseteq$
Formal Argumentation	Sets of arguments	$\subseteq$
Weighted ADFs	Weighted worlds	Pointwise comparison
SHACL	Interpretations	Truth order

# Operator-Based Semantics for Dialects of Logic Programming

- ✓ Aggregates in the body:  $p \leftarrow \#sum\{2 : p; q : 1; r : 1\} \geq 2.$
- ✓ Propositional formulas in the body:  $p \leftarrow q \wedge (r \vee (s \wedge \neg t)).$
- ✓ Disjunctions in the head:  $p \vee q \leftarrow q \wedge (r \vee (s \wedge \neg t)).$
- ✓ Choice constructs in the head:  $\#count\{p; q; r\} = 2 \leftarrow \neg r.$
- ✓ DL-based logic programs:  $KC(x) \leftarrow \neg p(X); C \sqsubseteq D.$
- ✓ Higher-order logic programs:  $S(P, Q) \leftarrow; P(X) \leftarrow \neg Q(X).$
- ? Fuzzy logic programs:  $p(X) \leftarrow 0.5 \cdot (q(x) + r(X)).$
- ? Probabilistic logic programs:  $0.3 :: p(X).$
- ? Hex-programs:  $tr(S, P, O) \leftarrow \&RDF[uri](S, P, O).$

## Operator-Based Semantics for other KR-formalisms

- autoepistemic logic [DMT03],
- default logic [DMT03],
- abstract argumentation [SW15],
- abstract dialectical frameworks [SW15],
- weighted abstract dialectical frameworks [Bog19],
- SCHACL [BJ21].

# Operator-Based Studies

Top-Down approach:

- Instead of studying a concept for a specific framework, define and study it for operators over a lattice (and their approximations).
- We can then apply this concept to all formalisms that are or can be captured in AFT.

Examples:

- |                                    |                                  |
|------------------------------------|----------------------------------|
| ✓ Stratification [VGD06]           | ✓ Argumentative dialogues [HA20] |
| ✓ Conditional Independence [Hey23] | ?                                |
| ✓ Knowledge Compilation [BVdB15]   | ? Belief dynamics                |
| ✓ Groundedness [BVdB15]            | ? Modular equivalence            |
| ✓ Strong equivalence [Tru06]       | ? Neuro-symbolism                |

## Round up

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- UCT-students: 12-23rd February (Monday-Thursday).
- Non-UCT-students: recordings via NITheCS.

Course will have a more practical focus.

Topics:

- ASP syntax and semantics.
- Hierarchical and combinatorial modelling in ASP.
- Grounding and solving algorithms.
- Formal argumentation.
- Inductive logic programming (learning logic programs).
- ...

# Summary

- Operators as the core for understanding answer set semantics.
- Paved the road towards approximation fixpoint theory.
- Algebraic theory that allows language independent work on KR.
- Requires some buy-in, but in my view a great bargain.
- Interested in cooperating? Questions on AFT? Come talk to me.



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